

Characterizing Computable Analysis with Differential Equations

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Outline

- 1 Basic Background
- 2 Our model and our result
- 3 Discussion of the Proof
- 4 Conclusion

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Computability over the Reals?

Suppose $f : \mathbb{R} \rightarrow \mathbb{R}$. When is f “computable”?

Let $f(x) = \begin{cases} 0, & \text{if } x \leq 0; \\ 1, & \text{if } x > 0. \end{cases}$ Is it computable?

f is computable according to the **BSS Model**, and **not** according to **Computable Analysis**.

Is e^x computable?

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Computable Analysis

f is computable according to “Naive” Computable Analysis iff:

There is a computable function $F^x(n)$ with an oracle for the real number x such that $F^x(n) \rightarrow f(x)$, as $n \rightarrow \infty$.

Definition

$f \in \mathbf{C}_{\mathbb{R}}$ (Computable Analysis) iff:

There is a computable function $F^x(n)$ with an oracle for the real number x such that $|f(x) - F^x(n)| \leq 1/n$.

Examples of functions in $\mathbf{C}_{\mathbb{R}}$: e^x , π , $\sin x$, $\log x$, ...

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Other Models

- 1 Shannon's circuit model.
- 2 Neural Networks.
- 3 Hybrid systems.

There is **not** an agreed upon definition of computability over \mathbb{R} .
There is **no** "basic theorem" for computability over \mathbb{R} !

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Function Algebras and Real Recursive Functions

Definition

A **Function Algebra** $FA[f_1, \dots, f_k; \text{op}_1, \dots, \text{op}_n]$ is the smallest set of functions containing f_1, \dots, f_k , and closed under the operations $\text{op}_1, \dots, \text{op}_n$.

Example: $FA[0, 1, +, -, P; \text{comp}, \Sigma, \Pi, \mu]$, which is equal to the computable functions over the naturals.

Real Recursive Functions: Function algebras over the reals, introduced by C. Moore 1996.

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Some basic functions over the reals

- Constant functions: $0, 1, -1$
- Projection functions “P” (example: $U(x, y) = x$)
- $\theta_k(x) = \begin{cases} 0, & x < 0; \\ x^k, & x \geq 0. \end{cases}$

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The Differential Equation Operation

Definition

ODE is the operation:

- **Input:** $g(x)$, $f(y, u, x)$.
- **Output:** The solution of the IVP

$$h(0, x) = g(x), \quad \frac{\partial}{\partial y} h = f(y, h, x)$$

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LI is the operation defined like ODE, except that f must be linear in h .

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A real function algebra and some examples

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Let ODE_k^* be the total functions of
 $FA[0, 1, -1, \theta_k, P; \text{comp}, \text{ODE}]$

Some functions in ODE_k^* : e^x , $(x + y)$, (xy) , $\sin x$, ...

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The Limit Operation

Definition

LIM is the operation:

- **Input:** $f(t, \bar{x})$
- **Output:** $F(\bar{x}) = \lim_{t \rightarrow \infty} f(t, \bar{x})$, if the limit exists, and $|F(\bar{x}) - f(t, \bar{x})| \leq 1/t$.

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Our main theorem

Theorem (**Main Theorem**)

$\mathbf{C}_{\mathbb{R}} = \text{ODE}_k^*(\text{LIM})$ for $k \geq 2$.

A function algebra based on searching

Definition

The operation **UMU** takes as **Input**: $f(t, \bar{x})$ such that

- 1 For any \bar{x} , $f(t, \bar{x})$ increases in t , and
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(and at that T , $\frac{\partial}{\partial t} f > 0$).

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Our mainer theorem

Theorem

For $k \geq 2$, $\mathbf{C}_{\mathbb{R}} = \text{ODE}^*_k(\text{LIM}) = \text{UMU}_k(\text{LIM})$.

Theorem (Bournez and Hainry 2006)

Roughly: $\mathcal{C}^2 \cap [\mathbf{C}_{\mathbb{R}}] = [\text{UMU}_k(\text{LIM})]$, for $k \geq 3$

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More Motivation

Results of the form “ $\mathcal{CA} = \text{FA}$ ”.

- Interesting to provide alternative models for Computable Analysis.
- Connects discrete-time and continuous-time.
- “Basic Theorem” over the reals?
Church-Turing thesis over the reals?
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The “Main Step”

$$\mathbf{C}_{\mathbb{R}} \subseteq \mathcal{UMU}_k(\text{LIM})$$

By Turing Machine simulation (Bournez and Hainry 2006).

Difficulties with this approach ...

- Forced into unnecessary restrictions.
- Appears less general.

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Approximation

Definition

- $f(\bar{x}) \preceq^t f^*(\bar{x}, t)$ means: $|f(\bar{x}) - f^*(\bar{x}, t)| < \frac{1}{t}$
- For classes of functions \mathcal{A} and \mathcal{B} , $\mathcal{A} \preceq \mathcal{B}$ means:
For any $f \in \mathcal{A}$ there is $f^ \in \mathcal{B}$ such that $f \preceq f^*$.*

Goal: $\mathbf{C}_{\mathbb{R}} \preceq \mathcal{UMU}_k$ Implies: $\mathbf{C}_{\mathbb{R}} \subseteq \mathcal{UMU}_k(\text{LIM})$

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Goal: $\mathbf{C}_{\mathbb{R}} \preceq \mathcal{UMU}_k$ **Implies:** $\mathbf{C}_{\mathbb{R}} \subseteq \mathcal{UMU}_k(\text{LIM})$

Breaking up the proof

Lemma (Transitivity)

Suppose A , B , and C are classes of functions and suppose C is nice. Then $A \preceq B$ and $B \preceq C$ implies $A \preceq C$.

Definition

Let \mathbf{C}_Q be $\{f|_Q \mid f \in \mathbf{C}_R\}$

$$\mathbf{C}_Q \preceq \widetilde{\mathbf{dC}}_Q \preceq \widetilde{\mathbf{dMU}}_Q \preceq \mathbf{MU}_Q \preceq \mathbf{UMU}_k$$

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A function algebra for the computable functions

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Let $\mathcal{MU}_{\mathbb{N}}$ be $\text{FA}[0, 1, +, -, \mathbf{P}; \text{comp}, \Sigma, \Pi, \mathbf{MU}]$.

Definition

The operation **MU**. **Input:** $f(t, \bar{x})$ (over \mathbb{N}) satisfying:

For each \bar{x} , there is a unique $T \geq 1$ such that $f(T, \bar{x}) = 0$, and otherwise

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Suppose OP takes a function $f : \mathbb{N}^k \rightarrow \mathbb{N}$ and returns a function $g : \mathbb{N}^m \rightarrow \mathbb{N}$. Then $OP_{\mathbb{Q}}$ is the following operation:

- 1 $OP_{\mathbb{Q}}$ takes as input $f : \mathbb{Q}^k \rightarrow \mathbb{Q}$ such that $f|_{\mathbb{N}} : \mathbb{N}^k \rightarrow \mathbb{N}$.
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The inductive proof

Proof proceeds inductively on the function algebra $\mathcal{MU}_{\mathbb{Q}}$:

- Show the basic functions of $\mathcal{MU}_{\mathbb{Q}}$ are approximated by \mathcal{UMU}_k .
- Show that the operations of $\mathcal{MU}_{\mathbb{Q}}$ preserve the approximation:

For $f \in \mathcal{MU}_{\mathbb{Q}}$ and $f^ \in \mathcal{UMU}_k$, suppose $g = OP(f)$, and $f \preceq f^*$. Then there is $g^* \in \mathcal{UMU}_k$ such that $g \preceq g^*$.*

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- Show the basic functions of $\mathcal{MU}_{\mathbb{Q}}$ are approximated by \mathcal{UMU}_k .
- Show that the operations of $\mathcal{MU}_{\mathbb{Q}}$ preserve the approximation:

For $f \in \mathcal{MU}_{\mathbb{Q}}$ and $f^ \in \mathcal{UMU}_k$, suppose $g = OP(f)$, and $f \preceq f^*$. Then there is $g^* \in \mathcal{UMU}_k$ such that $g \preceq g^*$.*

Basic Functions

- $0, 1, -1, +, P, *$
- $\theta_1, \text{div} \dots$

Composition

Generic and easy, but uses “modulus assumption” significantly

.....

Linearization

Generic, but involved

Sums and Products

Specific, but uses earlier results

Search Operation MU

Specific and significant Key Points:

- Use the particular shape.
- Need to process the approximation function.
- Can approximate linearization in well-behaved way.

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Outline

- 1 Basic Background
- 2 Our model and our result
- 3 Discussion of the Proof
- 4 Conclusion**

Conclusion

We have a new characterization of Computable Analysis.

Further improvements?:

- Show it is useful.
- Simplify to “analytic version” by removing θ_k .
Does $\mathbf{C}_{\mathbb{R}} = \text{ODE}^*(\text{LIM})$?
- Develop a general theory.

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