

# Representations of the Higman-Thompson groups

André Guimarães

Universidade de Lisboa

Encontro Nacional Novos Talentos, July 2021

## Definition

The Thompson group  $F$  is the group of piecewise linear homeomorphisms of the unit interval which are:

- differentiable everywhere except at a finite subset of  $\{\frac{a}{2^b} : a, b \in \mathbb{Z}\}$  - the dyadic rationals;
- have a derivative equal to a power of 2, where it exists.

## Objectives:

- 1 Show a generalisation of the Thompson group;
- 2 Define a family of representations of said generalizations;
- 3 Introduce a sufficient condition for two such representations to be equivalent;
- 4 Investigate when it might be possible to satisfy this condition.

# Transition matrices

Consider the following:

- System with  $n$  different states  $1, \dots, n$ ;
- Only certain transitions are allowed;

## Example

The following matrix encodes the allowed transitions for a system with 4 different states.

$$\begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 1 \end{pmatrix}$$

Entry  $i, j$  of the matrix is equal to 1 if and only if the system can transition from state  $i$  to state  $j$ .

# Transition matrices

$$\begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 1 \end{pmatrix}$$

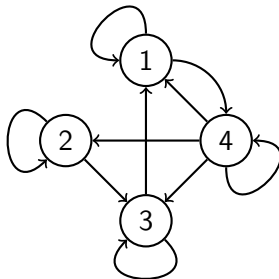


Figure: Transition matrix and corresponding graph.

How to encode the evolution of the system?

## Example

Consider a system with at least two states, for which the  $1 \rightarrow 2$  and  $2 \rightarrow 1$  transitions are both allowed. The infinite word

$$12121212\dots$$

describes a possible evolution of the system which indefinitely alternates between states 1 and 2.

## Definition

Let  $A = [A(i, j)]_{n \times n}$  be a transition matrix. Define the set of admissible words,  $X_A$  as

$$\{(x_m)_{m \in \mathbb{N}} \in \{1, \dots, n\}^{\mathbb{N}} : \forall m \in \mathbb{N}, A(x_m, x_{m+1}) = 1\}$$

## Definition

Let  $x = x_1x_2 \dots x_m$  be a finite word. Then, let

$$x = \{y \in X_A : x \text{ is a prefix of } y\}$$

that is,  $x$  is the set of elements in  $X_A$  which have  $x$  as a prefix.  
Furthermore,  $xy$  denotes the concatenation of  $x$  and  $y \in X_A$ .

## Example

Let  $y = 121212 \dots$ . Then,

- $y \in 1$  because 1 is a prefix of  $y$ ;
- $y \in 12$  because 12 is also a prefix of  $y$ ;
- $3y$  is the word 3121212...

# Cylinder maps

## Definition

Consider two families of admissible finite words  $\mu_1, \dots, \mu_r$  and  $\nu_1, \dots, \nu_r$  such that:

- $X_A = \bigsqcup_{i=1}^r \mu_i = \bigsqcup_{i=1}^r \nu_i$ ;
- $\mu_i y \in X_A \Leftrightarrow \nu_i y \in X_A$  for  $i \in \{1, \dots, r\}$ .

The bijective map  $\tau = (\mu_i x) \mapsto (\nu_i x) : X_A \rightarrow X_A$  is called a *cylinder map*.

## Example

Consider the transition matrix  $\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$  and the following  $\mu_i$  and  $\nu_i$ .

$$\begin{array}{c|ccc} \mu_i & 11 & 121 & 2 \\ \hline \nu_i & 1 & 211 & 212 \end{array}$$

Then, for instance,  $\tau(121212\dots) = 211212\dots$

# Perron-Frobenius theorem

## Theorem (Perron-Frobenius)

Let  $A$  be an irreducible non-negative  $n \times n$  matrix with spectral radius  $\lambda$ . Then,  $\lambda$  is a simple eigenvalue of  $A$  and the associated eigenvector is strictly positive.

## Example

The polynomial  $t^4 - 4t^3 + 5t^2 - 3t$  is the characteristic polynomial of matrix

$$\begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 1 \end{pmatrix}$$

and it has a real simple root,  $\lambda \approx 2.47$  of greatest absolute value, which is an eigenvalue of the matrix, with eigenvector  $\left( \frac{1}{\lambda(\lambda-1)}, \frac{1}{\lambda(\lambda-1)^3}, \frac{1}{\lambda(\lambda-1)^2}, \frac{1}{\lambda} \right)$ .



## Proposition

There is  $\rho_A : X_A \rightarrow [0, 1)$  surjective and order-preserving.

Cylinder map:

$$\begin{array}{ccccccc} \mu_1 & \cdots & \mu_i & \cdots & \mu_r \\ \updownarrow & & \updownarrow & & \updownarrow \\ \nu_1 & \cdots & \nu_i & \cdots & \nu_r \end{array}$$

$\lambda$ -adic function:

$$\begin{array}{ccccccc} \rho_A(\mu_1) & \cdots & \rho_A(\mu_i) & \cdots & \rho_A(\mu_r) \\ \updownarrow & & \updownarrow & & \updownarrow \\ \rho_A(\nu_1) & \cdots & \rho_A(\nu_i) & \cdots & \rho_A(\nu_r) \end{array}$$

- piecewise linear mapping with positive slope;
- intervals of the form  $[a, b)$  (so it's well-defined);
- slopes are powers of  $\lambda$ , singularities are a finite subset of  $\mathbb{Z} \left[ \frac{1}{\lambda} \right]$ .

# The group $\Gamma_A$

## Definition

The group  $\Gamma_A$  is the group of  $\lambda$ -adic functions obtained from cylinder maps.  $F_A$  is the subgroup of the continuous elements of  $\Gamma_A$ .

## Proposition

$F_A$  is a subgroup of the piecewise linear homeomorphisms of  $[0, 1)$  to  $[0, 1)$  which are:

- differentiable everywhere except at a finite subset of  $\mathbb{Z} \left[ \frac{1}{\lambda} \right]$ ;
- have a derivative equal to a power of  $\lambda$ , where it exists.

# The shift operator $\sigma$

Take a bijective restriction of  $\rho_A$ .

## Definition

The shift operator  $\sigma : X_A \rightarrow X_A$  is such that  $\sigma(x_1x_2x_3\dots) = x_2x_3\dots$

## Definition

Let  $\text{orb}(x) = \{y \in [0, 1) : \sigma^r(\rho_A^{-1}(x)) = \sigma^s(\rho_A^{-1}(y)) \text{ for some } r, s \in \mathbb{N}\}$ .

## Example

- $\sigma(3121212\dots) = 121212\dots$
- $\sigma^2(21121212\dots) = 121212\dots$
- $\rho_A(3121212\dots) \in \text{orb}(\rho_A(21121212\dots))$

# The Hilbert space $H_x$

## Definition

Let  $H_x = \ell^2(\text{orb}(x))$ , the Hilbert space of sequences  $u : \text{orb}(x) \rightarrow \mathbb{C}$  such that  $\sum_{w \in \text{orb}(x)} |u(w)|^2$  converges.

## Definition

Let  $y \in \text{orb}(x)$ . Then  $\delta_y \in H_x$  is such that

$$\delta_y(w) = \begin{cases} 1 & \text{if } y = w \\ 0 & \text{otherwise} \end{cases}$$

## Proposition

The set  $\{\delta_y : y \in \text{orb}(x)\}$  is an Hilbert basis for  $H_x$ .

# Representations of $F_A$

## Proposition

Let  $f \in \Gamma_A$  and  $x \in [0, 1)$ . Then,  $f(x) \in \text{orb}(x)$ .

## Proposition

For  $x \in [0, 1)$ , there is a representation  $\tau_x : F_A \rightarrow B(H_x)$  such that  $\tau_x(f)\delta_y = \delta_{f(y)}$  on the basis of  $H_x$ .

Family of representations of  $F_A$ :  $\{\tau_x : x \in [0, 1)\}$ .

## Question

When are  $\tau_y$  and  $\tau_z$ , in some sense, the same representation?

Idea: if  $f \in F_A$  is such that  $f(x) = x \Leftrightarrow x \in \{0, y\}$ , then  $\tau_y$  and  $\tau_z$  are equivalent if and only if  $z \in \text{orb}(y)$ .

## Proposition

Suppose  $f(x) = x$  for  $f \in F_A$  and  $x \in [0, 1)$ . Then, the word  $\rho_A^{-1}(x) \in X_A$  is eventually periodic.

## Question

Suppose  $y \in X_A$  is eventually periodic. Is there always  $f \in F_A$  such that  $f(x) = x$  if and only if  $x \in \{0, \rho_A(y)\}$ ? How to find out if  $\rho_A^{-1}(x)$  is eventually periodic?

# $\beta$ -transformations

Suppose  $A$  is such that every row of  $A$  but the last is filled with 1 and the last row is a series of 1 (at least one) followed by a series of 0.

## Proposition

In the above conditions,  $\rho_A^{-1}(x)$  is periodic if and only if  $x$  has a periodic representation in base  $\beta$  (the Perron-Frobenius eigenvalue).

## Definition

Suppose  $x > 1$  is a real algebraic integer with minimal polynomial  $p(x)$  such that every  $y \neq x$  with  $p(y) = 0$  satisfies  $|p(y)| < 1$ . Then,  $x$  is called a Pisot number.

## Proposition

If  $\beta$  is a Pisot number,  $x$  has a periodic representation in base  $\beta$  if and only if  $x \in \mathbb{Q}[\beta]$ .

# The Higman-Thompson groups

Suppose  $A$  is an  $n \times n$  matrix such that every entry is equal to 1.  $A$  has Perron-Frobenius eigenvalue equal to  $n$ .

In this case,  $F_A$  is simply the Higman-Thompson group  $F_n$ :

## Definition

The Higman-Thompson group  $F_n$  is the group of piecewise linear homeomorphisms of the unit interval which are:

- differentiable everywhere except at a finite subset of  $\left\{ \frac{a}{n^b} : a, b \in \mathbb{Z} \right\}$  - the  $n$ -adic rationals;
- have a derivative equal to a power of  $n$ , where it exists.

## Proposition

Let  $q \in \mathbb{Q} \cap [0, 1) = \mathbb{Q}[n] \cap [0, 1)$ . There exists  $f \in F_n$  such that  $f(x) = x$  if and only if  $x \in \{0, q\}$ .